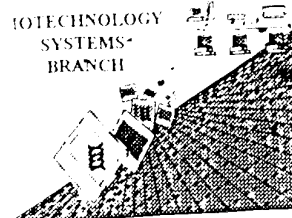


5-026

## RAW SEQUENCE LISTING ERROR REPORT

BIOTECHNOLOGY  
SYSTEMS  
BRANCH



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/516,340A  
Source: 1600 fust  
Date Processed by STIC: 10/2/2001

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE SEE BELOW

### Checker Version 3.0

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

Checker Version 3.0 can be down loaded from the USPTO website at the following address:

<http://www.uspto.gov/web/offices/pac/checker>

# Raw Sequence Listing Error Summary

## ERROR DETECTED

## SUGGESTED CORRECTION

SERIAL NUMBER: 09/516,310A

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1      Wrapped Nucleics  
    Wrapped Aminos  
The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
- 2      Invalid Line Length  
The rules require that a line not exceed 72 characters in length. This includes white spaces.
- 3      Misaligned Amino  
    Numbering  
The numbering under each 5<sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
- 4      Non-ASCII  
The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
- 5      Variable Length  
Sequence(s)      contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
- 6      PatentIn 2.0  
    "bug"  
A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s)     . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 7      Skipped Sequences  
    (OLD RULES)  
Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
(i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  
This sequence is intentionally skipped  
  
Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
- 8      Skipped Sequences  
    (NEW RULES)  
Sequence(s)      missing. If intentional, please insert the following lines for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 9      Use of n's or Xaa's  
    (NEW RULES)  
Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 10      Invalid <213>  
    Response  
Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence.
- 11      Use of <220>  
Sequence(s) 216-11 missing the <220> "Feature" and associated numeric identifiers and responses.  
Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
- 12      PatentIn 2.0  
    "bug"  
Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
- 13      Misuse of n  
n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

1600

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/516,310A

DATE: 10/02/2001

TIME: 12:44:26

Input Set : A:\22000.0021U2.txt

Output Set: N:\CRF3\10022001\I516310A.raw

3 <110> APPLICANT: Lin, Yao-Zhong  
 4 Hawiger, Jack J.  
 6 <120> TITLE OF INVENTION: A Novel Method for Importing  
 7 Biologically Active Molecules into Cells  
 10 <130> FILE REFERENCE: 22000.0021U2  
 12 <140> CURRENT APPLICATION NUMBER: 09/516,310A  
 13 <141> CURRENT FILING DATE: 2000-03-01  
 15 <150> PRIOR APPLICATION NUMBER: 09/170,754  
 16 <151> PRIOR FILING DATE: 1998-10-13  
 18 <150> PRIOR APPLICATION NUMBER: 09/052,784  
 19 <151> PRIOR FILING DATE: 1998-03-31  
 21 <150> PRIOR APPLICATION NUMBER: 08/258,852  
 22 <151> PRIOR FILING DATE: 1994-06-13  
 24 <160> NUMBER OF SEQ ID NOS: 11  
 26 <170> SOFTWARE: FastSEQ for Windows Version 4.0  
 28 <210> SEQ ID NO: 1  
 29 <211> LENGTH: 26  
 30 <212> TYPE: PRT  
 31 <213> ORGANISM: Artificial Sequence  
 33 <220> FEATURE:  
 34 <221> NAME/KEY: SITE  
 35 <222> LOCATION: (1)...(16)  
 36 <223> OTHER INFORMATION: note = Signal peptide amino acid sequence of K-FGF  
 38 <221> NAME/KEY: SITE  
 39 <222> LOCATION: (17)...(19)  
 40 <223> OTHER INFORMATION: note = Spacer region  
 42 <221> NAME/KEY: SITE  
 43 <222> LOCATION: (20)...(26)  
 44 <223> OTHER INFORMATION: note = Epitope tag  
 46 <400> SEQUENCE: 1  
 47 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Leu Ala Pro  
 48 1 5 10 15  
 49 Ala Ala Ala Asp Gln Asn Gln Leu Met Pro  
 50 20 25  
 52 <210> SEQ ID NO: 2  
 53 <211> LENGTH: 7  
 54 <212> TYPE: PRT  
 55 <213> ORGANISM: Artificial Sequence  
 W--> 57 <220> FEATURE:  
 W--> 57 <223> OTHER INFORMATION:  
 57 <400> SEQUENCE: 2  
 58 Asn Tyr Lys Lys Pro Lys Leu  
 59 1 5  
 61 <210> SEQ ID NO: 3  
 62 <211> LENGTH: 26  
 63 <212> TYPE: PRT  
 64 <213> ORGANISM: Artificial Sequence

Does Not Comply  
 Corrected Diskette Needed

1,3-4

FYI: Xaa can only represent  
 a single amino acid

see item 11 on Error Summary  
 sheet

## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/516,310A

DATE: 10/02/2001

TIME: 12:44:26

Input Set : A:\22000.0021U2.txt

Output Set: N:\CRF3\10022001\I516310A.raw

66 <220> FEATURE:  
 67 <221> NAME/KEY: SITE  
 68 <222> LOCATION: (1)...(16)  
 69 <223> OTHER INFORMATION: note = Signal peptide amino acid sequence of K-FGF  
 71 <221> NAME/KEY: SITE  
 72 <222> LOCATION: (17)...(19)  
 73 <223> OTHER INFORMATION: Spacer region *Xaa can only represent a single amino acid*  
 75 <221> NAME/KEY: SITE  
 76 <222> LOCATION: (20)...(26)  
 77 <223> OTHER INFORMATION: Nuclear localization sequence of aFGF  
 79 <400> SEQUENCE: 3  
 80 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Ala Pro  
       1                  5                  10                  15  
 81 1  
 82 Ala Ala Ala Asn Tyr Lys Lys Pro Lys Leu  
       20                  25  
 83  
 85 <210> SEQ ID NO: 4  
 86 <211> LENGTH: 28  
 87 <212> TYPE: PRT  
 88 <213> ORGANISM: Artificial Sequence  
 90 <220> FEATURE:  
 91 <221> NAME/KEY: SITE  
 92 <222> LOCATION: (1)...(16)  
 93 <223> OTHER INFORMATION: note = Signal peptide amino acid sequence of K-FGF  
 95 <221> NAME/KEY: SITE  
 96 <222> LOCATION: (17)...(19)  
 97 <223> OTHER INFORMATION: note = Spacer region  
 99 <221> NAME/KEY: SITE  
 100 <222> LOCATION: (20)...(26)  
 101 <223> OTHER INFORMATION: note = Nuclear localization sequence of aFGF  
 103 <221> NAME/KEY: SITE  
 104 <222> LOCATION: (27)...(28)  
 105 <223> OTHER INFORMATION: note = Epitope tag  
 107 <400> SEQUENCE: 4  
 108 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Ala Pro  
       1                  5                  10                  15  
 109 1  
 110 Ala Ala Ala Asn Tyr Lys Lys Pro Lys Leu Met Pro  
       20                  25  
 111  
 113 <210> SEQ ID NO: 5  
 114 <211> LENGTH: 16  
 115 <212> TYPE: PRT  
 116 <213> ORGANISM: Artificial Sequence  
 118 <220> FEATURE:  
 119 <221> NAME/KEY: SITE  
 120 <222> LOCATION: (1)...(16)  
 121 <223> OTHER INFORMATION: note = Signal peptide amino acid sequence of K-FGF  
 123 <400> SEQUENCE: 5  
 124 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Ala Pro  
       1                  5                  10                  15  
 125 1  
 127 <210> SEQ ID NO: 6

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/516,310A

DATE: 10/02/2001  
TIME: 12:44:26

Input Set : A:\22000.0021U2.txt  
Output Set: N:\CRF3\10022001\I516310A.raw

128 <211> LENGTH: 41  
129 <212> TYPE: PRT  
130 <213> ORGANISM: Artificial Sequence  
W--> 132 <220> FEATURE:  
W--> 132 <223> OTHER INFORMATION:  
132 <400> SEQUENCE: 6  
133 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Leu Ala Pro  
134 1 5 10 15  
135 Glu Ile Leu Leu Pro Asn Asn Tyr Asn Ala Tyr Glu Ser Tyr Lys Tyr  
136 20 25 30  
137 Pro Gly Met Phe Ile Ala Leu Ser Lys  
138 35 40  
140 <210> SEQ ID NO: 7  
141 <211> LENGTH: 25  
142 <212> TYPE: PRT  
143 <213> ORGANISM: Artificial Sequence  
W--> 145 <220> FEATURE:  
W--> 145 <223> OTHER INFORMATION:  
145 <400> SEQUENCE: 7  
146 Glu Ile Leu Leu Pro Asn Asn Tyr Asn Ala Tyr Glu Ser Tyr Lys Tyr  
147 1 5 10 15  
148 Pro Gly Met Phe Ile Ala Leu Ser Lys  
149 20 25  
151 <210> SEQ ID NO: 8  
152 <211> LENGTH: 26  
153 <212> TYPE: PRT  
154 <213> ORGANISM: Artificial Sequence  
W--> 156 <220> FEATURE:  
W--> 156 <223> OTHER INFORMATION:  
156 <400> SEQUENCE: 8  
157 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Leu Ala Pro  
158 1 5 10 15  
159 Ile Glu Glu Lys Arg Lys Arg Thr Tyr Glu  
160 20 25  
162 <210> SEQ ID NO: 9  
163 <211> LENGTH: 26  
164 <212> TYPE: PRT  
165 <213> ORGANISM: Artificial Sequence  
W--> 167 <220> FEATURE:  
W--> 167 <223> OTHER INFORMATION:  
167 <400> SEQUENCE: 9  
168 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Leu Ala Pro  
169 1 5 10 15  
170 Val Asn Arg Lys Arg Asn Lys Leu Met Pro  
171 20 25  
173 <210> SEQ ID NO: 10  
174 <211> LENGTH: 10  
175 <212> TYPE: PRT  
176 <213> ORGANISM: Artificial Sequence

RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/516,310ADATE: 10/02/2001  
TIME: 12:44:26Input Set : A:\22000.0021U2.txt  
Output Set: N:\CRF3\10022001\I516310A.raw

W--&gt; 178 &lt;220&gt; FEATURE:

W--&gt; 178 &lt;223&gt; OTHER INFORMATION:

178 &lt;400&gt; SEQUENCE: 10

179 Val Asn Arg Lys Arg Asn Lys Leu Met Pro

180 1 5 10

182 &lt;210&gt; SEQ ID NO: 11

183 &lt;211&gt; LENGTH: 10

184 &lt;212&gt; TYPE: PRT

185 &lt;213&gt; ORGANISM: Artificial Sequence

W--&gt; 187 &lt;220&gt; FEATURE:

W--&gt; 187 &lt;223&gt; OTHER INFORMATION:

187 &lt;400&gt; SEQUENCE: 11

188 Ile Glu Glu Lys Arg Lys Arg Thr Tyr Glu

189 1 5 10

## VERIFICATION SUMMARY

PATENT APPLICATION: US/09/516,310A

DATE: 10/02/2001

TIME: 12:44:27

Input Set : A:\22000.0021U2.txt

Output Set: N:\CRF3\10022001\I516310A.raw

L:57 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:57 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:132 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:132 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:145 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:145 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:156 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:156 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:167 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:167 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:178 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:178 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:  
L:187 M:258 W: Mandatory Feature missing, <220> FEATURE:  
L:187 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION: